Knot solitons in two-component Ginzburg-Landau theory

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TCGL theory

• $\Psi: M \to \mathbb{C}^2$, M = Riemannian mfd (e.g. \mathbb{R}^3) $A \in \Omega^1(M)$, em gauge potential $B = dA \in \Omega^2(M)$, magnetic field $d_A \Psi = d\Psi - iA\Psi$

GL energy

$$E = \frac{1}{2} \|\mathbf{d}_A \Psi\|^2 + \frac{1}{2} \|B\|^2 + \int_M U(\Psi)$$

where $\|C\|^2 = \langle C, C \rangle$, $\langle B, C \rangle = \int_M B \wedge *C$

Field equations

$$egin{array}{rcl} \delta_A \mathrm{d}_A \Psi + rac{\partial U}{\partial \Psi^\dagger} &=& 0 \ -\delta B &=& J \ J &=& -\mathrm{Im}(\Psi^\dagger \mathrm{d}_A \Psi) = \mathrm{supercurrent} \end{array}$$

Babaev-Faddeev-Niemi decomposition

• Gauge invariant fields

$$\begin{array}{lll} \rho & = & |\Psi|: M \to \mathbb{R}_+ \\ \phi & = & [\Psi_1, \Psi_2]: M \to \mathbb{C}P^1 \equiv S^2 \\ C & = & J/\rho^2 \in \Omega^1(M) \end{array}$$

• GL energy is

$$E = \frac{1}{8} \|\rho d\phi\|^2 + \frac{1}{2} \|dC + \frac{1}{2} \phi^* \omega\|^2 + \frac{1}{2} \|d\rho\|^2 + \frac{1}{2} \|\rho C\|^2 + \int_M U(\rho, \phi)$$

 $\omega =$ kähler form on S^2 .

• Truncation 1: assume U enforces $\rho \approx \text{constant} = 1$ WLOG

$$E \approx \frac{1}{8} \| \mathrm{d} \phi \|^2 + \frac{1}{8} \| \phi^* \omega \|^2 + \frac{1}{2} \langle \phi^* \omega, \mathrm{d} C \rangle + \frac{1}{2} \| \mathrm{d} C \|^2 + \frac{1}{2} \| C \|^2$$

Babaev-Faddeev-Niemi decomposition

• Truncation 2: C is massive, so assume $C \approx 0$

$$E \approx \frac{1}{8} ||d\phi||^{2} + \frac{1}{8} ||\phi^{*}\omega||^{2}$$

$$= \frac{1}{8} \int_{\mathbb{R}^{3}} \sum_{i} \left| d\phi \frac{\partial}{\partial x_{i}} \right|^{2} + \sum_{i < j} \left((\phi \times d\phi \frac{\partial}{\partial x_{i}}) \cdot d\phi \frac{\partial}{\partial x_{j}} \right)^{2}$$

$$= \frac{1}{8} \int_{\mathbb{R}^{3}} \sum_{i} \left| \frac{\partial \phi}{\partial x_{i}} \right|^{2} + \sum_{i < j} \left(\phi \cdot (\frac{\partial \phi}{\partial x_{i}} \times \frac{\partial \phi}{\partial x_{j}}) \right)^{2}$$

$$= \frac{1}{4} E_{FS}(\phi)$$

E_{FS} certainly has knot solitons. BFN conjecture TCGL does too (Phys.Rev.B65:100512,2002).

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- Very influential: >100 citations
- If true, gives Faddeev field $\phi:\mathbb{R}^3\to \textit{S}^2$ concrete physical interpretation
- Qualitatively similar arguments have been made (for nonabelian gauge theories) by Niemi and others to address questions of fundamental importance: e.g. quark confinement, quantum gravity

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Knot solitons in the Faddeev-Skyrme model

$$E_{FS}(\boldsymbol{\varphi}) = \frac{1}{2} \| \mathrm{d}\boldsymbol{\varphi} \|^2 + \frac{1}{2} \| \boldsymbol{\varphi}^* \boldsymbol{\omega} \|^2$$

- $\phi: \mathbb{R}^3 \to S^2$, b.c. $\phi(\infty) = (0, 0, 1)$
- Hopf degree $Q = \frac{1}{16\pi^2} \int_{\mathbb{R}^3} A \wedge dA$ where $\phi^* \omega = dA$
- $\varphi^{-1}(\text{reg. value}) = \text{oriented link in } \mathbb{R}^3$. Q = linking number of different regular preimages.
- Numerics: for some Q, $\varphi^{-1}(0, 0, -1)$ is knotted.
- Vakulenko-Kapitanskii bound: E_{FS}(φ) ≥ c|Q|^{3/4}. The power is sharp.

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Knot solitons in the Faddeev-Skyrme model

Studied numerically by Battye and Sutcliffe, Hietarinta and Salo, and many others



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[Sutcliffe, Proc. Roy. Soc. Lond. A463 (2007) 3001]

Testing the BFN conjecture

- *E_{FS}* has knot solitons, but do they survive unfreezing of *C* = 0 and ρ = 1?
- Test in most favourable case, hard confining potential, e.g.

 $U = \lambda (1 - |\Psi|^2)^2, \qquad \lambda \to \infty$

so truncation 1 holds exactly,

$$E = \frac{1}{4} E_{FS}(\phi) + \frac{1}{2} \|\mathbf{d}C\|^2 + \frac{1}{2} \|C\|^2 + \frac{1}{2} \langle \phi^* \omega, \mathbf{d}C \rangle$$

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Supercurrent coupled Faddeev-Skyrme (SCFS) model

Truncation 2 amounts to neglecting φ-C coupling

• Definitive test: introduce parameter $0 \le \alpha \le 1$

$$E_{lpha}=rac{1}{4}E_{FS}(\phi)+E_{KG}(C)+rac{lpha}{2}\langle\phi^*\omega,\mathrm{d}C
angle$$

Know this has knot solitons when $\alpha = 0$. Do any of them continue to $\alpha = 1$?

• On $M = \mathbb{R}^3$ need numerics (even E_{FS} not analytically tractable)

• On $M = S_R^3$ can answer question exactly (Q = 1, 0 < R < 2).

Homogenization of solitons on shrinking domains

 Generic phenomenon: topological solitons on compact domains undergo a phase transition as the domain shrinks – they gain symmetry



- Happens for Skyrme model, vector meson Skyrme model, Faddeev-Skyrme model on S³, and abelian Higgs model on any compact Riemann surface
- Theorem (Ward, JMS-Svensson, Isobe) The Hopf map

 $\pi: \mathbb{C}^2 \supset S^3 \rightarrow S^2 \equiv \mathbb{C}P^1, \qquad (z_1, z_2) \mapsto [z_1, z_2]$

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is a stable critical point of $E_{FS}(\phi)$ if and only if 0 < R < 2.

$$E_{\alpha}(\phi, C) = \frac{1}{8} \|\mathrm{d}\phi\|^{2} + \frac{1}{8} \|\phi^{*}\omega\|^{2} + \frac{1}{2} \|\mathrm{d}C\|^{2} + \frac{1}{2} \|C\|^{2} + \frac{\alpha}{2} \langle \mathrm{d}C, \phi^{*}\omega \rangle$$

- Makes sense for φ : M → N, C ∈ Ω¹(M), M Riemannian, N Kähler.
- Demand

$$\left.\frac{dE_{\alpha}(\varphi_s,C_s)}{ds}\right|_{s=0}=0$$

for all smooth variations (φ_s, C_s)

$$\begin{split} \delta(\mathrm{d}C + \frac{\alpha}{2}\phi^*\omega) + C &= 0, \\ \tau(\phi) - \frac{2}{\alpha}J\mathrm{d}\phi \sharp [C + (1-\alpha^2)\delta\mathrm{d}C] &= 0. \end{split}$$

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First variation of E_{α}

$$\delta(\mathrm{d}C + \frac{\alpha}{2}\phi^*\omega) + C = 0,$$

$$\tau(\phi) - \frac{2}{\alpha}J\mathrm{d}\phi \sharp [C + (1 - \alpha^2)\delta\mathrm{d}C] = 0.$$

- Fact: For fixed φ : M → N, there can be at most one C s.t. (φ, C) is critical.
 - Assume (ϕ, C') also a solution. Then C'' = C C' solves $\delta dC'' + C'' = 0$
 - Hence $0 = \langle C'', \delta dC'' + C'' \rangle = \| dC'' \|^2 + \| C'' \|^2$
- Hopf map has a unique continuation for all $\alpha \in [0, 1]$

$$(\varphi, C) = \left(\pi, \frac{2\alpha}{4+R^2}\sigma_3\right)$$

where $\pi : G \to G/K$, G = SU(2), $K = \{ \text{diag}(\lambda, \overline{\lambda}) : \lambda \in U(1) \}$ and $\pi : x \to xK$.

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- Great. But is it stable at α = 1?
- Need second variation formula...

Second variation of E_{α}

Given smooth variation (φ_s, C_s) of critical point (φ, C), define variation section (X, Y) ∈ Γ ε = Γ(φ⁻¹ TN ⊕ T*M)

$$X = \frac{\partial \varphi_s}{\partial s} \bigg|_{s=0}, \qquad Y = \frac{\partial C_s}{\partial s} \bigg|_{s=0}$$

Then

$$\left.\frac{d^2 E_{\alpha}(\phi_s,C_s)}{ds^2}\right|_{s=0} = \langle (X,Y), \mathscr{H}_{\alpha}(X,Y) \rangle$$

where \mathscr{H}_{α} is a self-adjoint, 2nd order linear diff-op $\Gamma(\mathscr{E}) \to \Gamma(\mathscr{E})$

Spectrum of *H*_α determines stability of (φ, C): unstable if *H*_α has negative eigenvalue(s).

Second variation of E_{α}

• For our energy E_{α}

$$\mathscr{H}_{\alpha}\begin{pmatrix}X\\Y\end{pmatrix} = \begin{pmatrix}\frac{1}{4}\mathscr{J} + \frac{1}{4}\mathscr{L} + \alpha\mathscr{C} & \frac{1}{2}\alpha\mathscr{A} \\ & \frac{1}{2}\alpha\mathscr{B} & \delta d + 1\end{pmatrix}\begin{pmatrix}X\\Y\end{pmatrix}$$

where

$$\begin{split} \mathscr{A} &: \Omega^{1}(M) \to \Gamma(\varphi^{-1}TN) \qquad \mathscr{A} : Y \mapsto -Jd\varphi \sharp \delta dY \\ \mathscr{B} &: \Gamma(\varphi^{-1}TN) \to \Omega^{1}(M) \qquad \mathscr{B} : X \mapsto \delta d(\varphi^{*}\iota_{X}\omega) \\ \mathscr{C} &: \Gamma(\varphi^{-1}TN) \to \Gamma(\varphi^{-1}TN) \qquad \mathscr{C} : X \mapsto -\frac{1}{2}J\nabla_{\sharp \delta dC}^{\varphi}X. \end{split}$$

- For (φ, C) = continued Hopf map, can compute spectrum of H_α exactly. H₁ has a negative eigenvalue of index 10, for all 0 < R < 2. So supercurrent coupling **destabilizes** the unit hopfion, at least on S³.
- Back to $M = \mathbb{R}^3$...

Energy bounds

- Recall VK bound $E_{FS}(\phi) \ge c_0 |Q|^{\frac{3}{4}}$
- Have similar bound for E_{α}

where $\hat{\phi} = \phi \circ \mathscr{D}_{\sqrt{1-\alpha}}$ and $\mathscr{D}_{\lambda} : \mathbb{R}^3 \to \mathbb{R}^3, \, \mathscr{D}_{\lambda}(x) = \lambda x$

• Expect E_{α} to have smooth minimizer in every homotopy class for $0 < \alpha < 1$.

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• Bound trivial at $\alpha = 1$. Is this sharp?

Yes:

 $\inf \{ E_1(\phi, C) : Q(\phi) = n \} = 0 \text{ for all } n \in \mathbb{Z}$

• **Proof:** $\exists \phi$ with $\phi = (0, 0, 1)$ outside \overline{B} . $\exists C \text{ s.t. } \phi^* \omega = -2dC (H^2(M) = 0).$ Can assume C = 0 outside $\overline{B} (H^1(M \setminus \overline{B}) = 0).$

$$E_1(\phi \circ \mathscr{D}_{\lambda}, \mathscr{D}_{\lambda}^* C) = \frac{1}{2\lambda} \|\mathrm{d}\phi\|^2 + 0 + \frac{1}{2\lambda} \|C\|^2$$

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- Write down lattice approximant for $E_{\alpha}(\phi, C)$
- Fix *Q*. We've done Q = 1, 2. Q = 3 still working.
- Starting at $\alpha = 0$, minimize E_{α} using gradient-based minimization scheme (e.g. conjugate gradient method).

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- At $\alpha = 0$, get usual charge *Q* knot soliton, with *C* = 0.
- Increment α slightly. Use old minimizer as new initial guess. Minimize E_{α}
- Get curve of minimizers, parametrized by $\alpha \in [0, 1]$
- Knot solitons shrink and disappear as $\alpha \to 1$



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Energy versus or

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Core length versus o

Q = 1, Q = 2

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- We have tested not only the BFN conjecture, but the reasoning underlying it: directly tested the assumption that "turning on the couplings" doesn't destroy the knot solitons
- Even if we keep p frozen (so topology is preserved) we find that coupling to supercurrent alone destabilizes knot solitons

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- Numerics on \mathbb{R}^3 supplemented by exact analysis on S_R^3
- Conclude BFN conjecture extremely unlikely to be true